

WILL YOU BE FULLY COMPLIANT IN TIME?

MIFID II GAP ANALYSIS TOOL FOR BUY-SIDE FIRMS

WHO IS IT FOR?

- Compliance
- Legal teams
- COOs



Buy-side
financial
institutions

WHAT IS IT?

GAP ANALYSIS TOOL

An analysis of the revised MiFID II and MiFIR, coming into force across the EU in January 2018, compared to the current regulatory regime. MiFID II / MiFIR will reform the way in which products and services are provided by investment firms to their clients.

HOW WILL IT HELP ME?

The MiFID II / MiFIR implementation date is 3 January 2018.

FINANCIAL INSTITUTIONS NEED TO START PREPARING NOW.

This gap analysis tool will help you identify what you need to do by:

- Highlighting the differences between the current regulatory regime, MiFID, and the revised regime, MiFID II / MiFIR.
- Providing clear, practical guidance on the tasks that need to be undertaken in order to comply in advance of January 2018.
- Enabling you to track the legislative and regulatory developments of each provision as January 2018 approaches.

BE READY:

3 JAN 2018

The tool is fully customisable and is designed to be used as an integral part of your firm's MiFID II / MiFIR implementation project.

I WANT TO KNOW MORE

We would be delighted to come to your offices to present the gap analysis tool, including pricing options.

For more information, please contact:



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